

SOX COMPLIANCE MANAGEMENT

Advance with Confidence

Organisations continue to improve and streamline their Sarbanes-Oxley compliance processes. A strong control environment and transparent and straightforward processes improves your relationship with external auditors and reduces your risk of fines.

Connected Risk SOX Compliance Management provides you with a single, integrated solution to manage the process from beginning to end. This drives consistency and gives you the insights to improve and streamline your workload.

Planning your test regime is easy with all the process information at your fingertips in one, central hub. Compliance Management allows for a work schedule to be appended without restriction and enables your SOX teams to quickly scope agile plans with confidence. Robust version control, capturing the complete audit trail of changes to the plan, ensures that all can be clearly evidenced to your stakeholders and auditors.

Your team can define the control environment to build a central hierarchy for mapping and codify all controls using a flexible set of fields and map to the organization to drive reporting and access permissions. Assigned ownership drives notifications to the appropriate stakeholder inbox and all changes are tracked via an integrated audit trail.

Define tests to poll the business on the effectiveness of controls, score responses to identify potential issues and risks, automate distribution to relevant owners, track completion, send reminders, and escalate overdue tests, provide impact assessments to support the prioritization of control failures and identify issues and centrally manage their remediation.

You can now also ease your workload and give control owners the power to update their own narrative and certify controls with integrated assessments and evidence management.

Integrated reporting pinpoints where your SOX compliance process is, and where delays might occur with real-time status updates on your dashboards and email alerting. Your control testing, monitoring and exception management is presented in interactive, drillable dashboards and advanced visualisations that bring the control landscape to life. It provides immediate insight into your SOX compliance status to control owners and management with one-click reporting for complete peace of mind.



What's Included




Our Sox Compliance Management solution has a large range of features available out of the box.

- ☒ Control Inventory
- ☒ Documentation & Mapping
- ☒ Work Schedule Planning
- ☒ Plan version control
- ☒ Monitoring & Testing
- ☒ Workpapers & Checklists
- ☒ Certification
- ☒ Issues & Actions
- ☒ Integrated Reporting



Additional Solutions

Looking for more functionality? Our Sox Compliance Management solution has more to offer.

-  Audit Management
-  Risk Management
-  WebServices API



The Connected Risk Platform is unique in the market as the only true no-code application framework for deploying enterprise level, integrated solutions. All aspects of the application, from the data model through to the user experience, can be created and managed leveraging intuitive administration tools. No proprietary coding languages or coding skills are required.

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