

SOX COMPLIANCE MANAGEMENT

Advance with Confidence

In an era of change, it is essential to have complete confidence that your employees, products, and business processes are in line with laws, regulations, and company guidelines and that all potential compliance and integrity risks within an organisation are identified.

Compliance Management, a solution on the Connected Risk platform, organises your compliance data for more efficient and effective management of compliance programs and processes.

You can now define and build a central control environment and hierarchy for mapping and reporting. This includes industry frameworks to help structure your content, identify gaps, and provide an external lens for reporting and disclosure. Controls are codified using a flexible set of fields and mappings to drive reporting and access permissions. This also drives notifications to the appropriate stakeholder inbox, whilst all changes are tracked via an integrated audit trail.

Your team can define tests to poll the business on the effectiveness of controls, score responses to identify potential issues and risks. Automated distribution to owners, completion tracking and reminders will save your team considerable time.

You can now also conduct impact assessments to support the prioritisation of control failures and identify issues and centrally manage their remediation. A certification process is embedded for control owner review and sign-off. A locked and time-stamped record is created of the controls and any issues. Historic records are always available.

Compliance reviews are an integral component which utilises workflow to manage the compilation of reviews by the relevant teams and record reviews using workpapers and checklists.

Identified issues and related actions are logged, distributed, and managed with a review rating that can be tracked and easily reported through a configurable set of dashboard views.

All collated compliance risk information is exposed through an integrated reporting engine that allows for the configuration of reports, charts, and heat maps. Interactive, drillable dashboards and advanced visualisations bring your compliance profile to life allowing you to Advance with Confidence.



What's Included






Our Compliance Management solution has a large range of features available out of the box.

- Framework Management
- Hierarchy Management
- Control Mapping
- Monitoring & Testing
- Attestations
- Compliance Reviews
- Workpapers & Checklists
- Issues & Actions
- Integrated Reporting



Additional Solutions

Looking for more functionality? Our Compliance Management solution has more to offer.

-  Reg Change Management
-  Policy Management
-  Risk Management
-  Audit Management
-  WebServices API



The Connected Risk Platform is unique in the market as the only true no-code application framework for deploying enterprise level, integrated solutions. All aspects of the application, from the data model through to the user experience, can be created and managed leveraging intuitive administration tools. No proprietary coding languages or coding skills are required.

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