

REGULATORY CHANGE MANAGEMENT

Advance with Confidence

The regulatory environment is rapidly evolving, creating compliance risks and operational challenges for organisations. With the rate of regulatory alerts continually increasing, it is critical that firms manage their compliance risks and allocate resources where it matters.

Regulatory Change Management, a solution on the Connected Risk platform, enables your compliance team to actively manage change in a connected and structured manner with both speed and precision.

Regulatory events can be seamlessly integrated from third party data feeds, ensuring teams receive the essential information needed to interpret emerging legislation and regulation. Use your own regulatory content provider or one of our large networks of partners to ensure the best coverage.

A core part of any regulatory change management process is performing impact assessments to determine the applicability of the event to your business and assess compliance risk. With our solution, your organization can undertake impact assessments on the relevant business units, controls, policies, and procedures.

To ensure your organization has the right policies in place and knows which policies need to be updated, Regulatory Change Management helps your compliance team to connect policies to rules, policy details and roles as well as attach evidence and link to other related rules.

The full regulatory change management process is captured, including evidence of risk and controls, so that you can identify what compliance decisions were made across the business with a full audit trail.

Regulatory Change Management includes extensive regulatory interaction management capabilities. One golden source of all contact details and correspondence avoids duplication and minimises the risks of miscommunication.

Integrated reporting allows your team to identify overdue items and critical data by status and view regulatory change as it occurs, by status, region, sector, theme, and level of risk as well as understand how regulations relate to business units and identify which policies are in place to manage compliance risk.



What's Included





Our Regulatory Change Management solution has a large range of features available out of the box.

- ☒ Regulatory horizon scanning
- ☒ Notifications & Triage
- ☒ Org. & Obligations Mapping
- ☒ Applicability Assessments
- ☒ Risk Assessments
- ☒ Issues & Actions
- ☒ Change Programs
- ☒ Regulatory Exams
- ☒ Integrated Reporting



Additional Solutions

Looking for more functionality? Our Regulatory Change Management solution has more to offer.

-  Regulatory Content Partners
-  Policy Management
-  Compliance Management
-  WebServices API